Substitute for PTO/SB/21 (09-04)"Transmittal Form"
Approved for use through 07/31/2006. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | Application Number | 10/509.959 |
|--|---|------------------------|------------------|
| OPE TRANSMITTAL FORM | | Application Number | 10/309,939 |
| | | Filing Date | October 4, 2004 |
| | | First Named Inventor | Mark E. Duggan |
| JUL 1 0 2006 W | | Art Unit | To be determined |
| (10 de usan for all correspondence after initial filing) | | Examiner Name | To be determined |
| er of Pages in This Submission | 7 | Attorney Docket Number | 21073YP |

| (to be used for all correspondence after initial filing) | | | Art Unit | | To be deterr | |
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| 5/ | ' | Examiner Name | | To be deterr | mined | |
| of Pages in This Submission | 7 | Attorney Docket N | lumber | 21073YP | | |
| ENCLOSURES (Check all that apply) | | | | | | |
| Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) | | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks Due reference attached | | lress | After Allowance Communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): | |
| | | | Im . mmonths | | · CPN/m | |
| SIGNATU | RE OF | APPLICA! | NT, ATTORNE | Y, OR | AGENT | |
| Name Matthew A. Leff | | | Registration No. (Attorney/A | | ey/Agent) | 50,149 |
| Signature While to a | 1// | / | | | Date | 7/7/2006 |
| I hereby certify that this correspondence Postal Service with sufficient postage as | is being f | facsimile tra | envelope addres | USPTO | or deposite | |
| P.O. Box 1450, Alexandria, Virginia 22313-1450 on this date: 7/7/06 | | | | | | |
| Typed or printed name Stephanie Ricardo | | | | | | |
| Signature Stochorio Ricordo Date 7/7/06 | | | | Date | 7/7/06 | |

UNITED STATES PATENT AND TRADEMARK OFFICE

Duggan et al.

Serial No.

10/509,959

Filed:

October 4, 2004

For: INHIBITORS OF AKT ACTIVITY

Art Unit:

To be determined

Examiner:

To be determined

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR 1.97**

Sir:

- 1. In compliance with 37 C.F.R. 1.97, submitted on the attached form herewith is a list of patents, publications or other information which are requested to be made of record in this application. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).
- 2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.
- 3. Applicants respectfully request that the Examiner initial the attached form after reviewing the pertinence of each reference.
- Pursuant to 37 C.F.R. 1.98 (a)(2)(ii), copies of each cited U.S. patent and each U.S. patent application publication are not enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

MERCK & CO., INC.



INFORMATION DISCLOSURE STATEMENT

F.R. 1.98(d), copies of references listed on the attached form that were submitted to or cited by the Office in a related application upon which the instant application relies for an earlier filing date under 35 U.S.C. 120

| | RELATED AP | RELATED APPLICATION | | | | |
|---|---|------------------------|--|--|--|--|
| U. S. SERIAL NUMBER | FILING DATE | MERCK CASE | | | | |
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| this is inconvenient, additional copies will be submitted upor | n request. | | | | | |
| 6. In accordance with 37 C.F.R. 1.97, (check one) | · | | | | | |
| the attached information is filed within three months of the | filing date of the captioned case. | | | | | |
| the attached information is filed more than three months a Office Action on the merits. | fter the filing date but prior to the | mailing of a first | | | | |
| the attached information is filed before the mailing of a firs examination under §1.114. | t Office action after the filing of a | request for continue | | | | |
| the attached information is being filed more than three mo Office Action on the merits, but before the mailing date of otherwise closes prosecution in the application. The enclo Account No. 13-2755 for the fee required under 37 C.F.R. | a Final Action, Notice of Allowancosed authorization is therefore giv | e, or an action that | | | | |
| each item of information contained in this Information Disc from a foreign patent office in a counterpart foreign applica Statement. | | | | | | |
| each item of information contained in the information discipled from a foreign patent office in a counterpart application and designated in §1.56(c) more than thirty days prior to the fit | nd this communication was not rec | eived by any individ | | | | |
| no item of information contained in this Information Discloration patent office in a counterpart foreign application, a after making reasonable inquiry, was known to any individed months prior to the filing of this Statement. | nd, to the knowledge of the perso | n signing the certific | | | | |
| | Respectfully submitted, | | | | | |
| | By: Matthew A. Leff | - | | | | |
| | Attorney For Ap | plicant(s) | | | | |
| | Reg. No. <u>50,149</u> | | | | | |
| | MERCK & CO., INC. Patent Dept., RY60-30 | | | | | |
| | P.O. Box 2000 | | | | | |
| | Rahway, N.J. 07065-090 |)7 | | | | |
| | (732)594- <u>1404</u> | _ | | | | |
| | Date: July 7, 2006 | | | | | |

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| , | OVERATEMENT BY A | A DDI ICANIT | Filing Date | October 4, 2004 |
| | ě \ | AFFLICANI | First Named Inventor | Mark E. Duggan |
| | JUL 1 0 2006 (use & many sheets a | | Group Art Unit | To be determined |
| PAR | | is necessary) | Examiner Name | To be determined |
| | STOREMAN 1 | of 2 | Attorney Docket Number | 21073YP |

| | U.S. PATENT DOCUMENTS | | | | |
|-----------------------|-----------------------|-----------------------------|----------------------------|--|--|
| Examiner Initials* | Cite No. | U.S. Patent Document Number | Kind Code (if known) | | Date of Publication of Cited Document MM-DD-YYYY |
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| | | | FORE | IGN PATENT D | OCUMENTS | |
|-----------------------|-------------|--------|-------------------------|----------------------------|---|------------------------------|
| | ~: | | Foreign Patent Document | | | Date of Publication of |
| Examiner Initials* | Cite No. | Office | Number | Kind Code (if known) | Name of Patentee or Applicant of Cited Document | Cited Document MM-DD-YYYY |
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| Examiner Signature | Date Considered | |
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| • | • | | | | Patent and Tragement Office; U.S DEPARTMENT OF COMMERCE | | |
|---|-------------------------------|--------|-----------|------------------------|---|--|--|
| | Substitute for form 1449B/PTO | | | COMPLETE IF KNOWN | | | |
| INFORMATION DISCLOSURE O P E STATEMENT BY APPLICANT JUL 1 0 2006 | | | | Application Number | 10/509,959 | | |
| | | | | Filing Date | October 4, 2004 | | |
| | | | | First Named Inventor | Mark E. Duggan | | |
| | | | | Group Art Unit | To be determined | | |
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| Sheet | | of | 2 | Attorney Docket Number | 21073YP | | |
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| | T | NON PATENT LITERATURE DOCUMENTS |
|--------------------|-------------|--|
| Examiner Initials* | Cite No. | Include name of the author, title, date, page(s), volume-issue number(s) and place of publication. |
| | | B. Venugopalan et al., European Journal of Medicinal Chemistry, Vol. 24(6), pgs. 611-614 (1989) |
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450. Computer generated form *IDS Form* (IDS Folder), Merck & Co., Inc., -7/12/2005